

PATENT
Attorney Docket No. PAST-059-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
HUGUES BLAUDIN de THE et al.)
Serial No: 278,136) Attn: Application
Filed: November 30, 1988) Branch

For: A NOVEL STEROID/THYROID HORMONE RECEPTOR-RELATED
GENE, WHICH IS INAPPROPRIATELY EXPRESSED IN HUMAN
HEPTOCELLULAR CARCINOMA, AND WHICH IS A RETINOIC
ACID RECEPTOR

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

This paper is filed in response to the Notice to File Missing Parts of Application mailed January 5, 1989.

The Notice indicated that the statutory filing fee and the fee for additional claims were not submitted with the application papers. A check in the amount of \$1,018.00 is enclosed to cover the filing fee (\$340.00), the fee for additional claims (\$568.00), and the surcharge (\$110.00).

The Notice also indicated that an Oath or Declaration signed by the inventors was required. A Declaration and Power of Attorney form is filed herewith.

RECEIVED

FEB 10 1989

APPLICATION BRANCH

LAW OFFICES

FINNEGAN, HENDERSON
FARABOW, GARRETT
& DUNNER

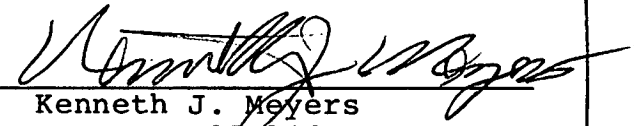
1775 K STREET, N. W.
WASHINGTON, D. C. 20006
(202) 293-6850

If any additional fees are required, the Commissioner is hereby authorized to charge the fees to the Deposit Account of the undersigned attorneys, Account No. 06-916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER

By:


Kenneth J. Meyers
Reg. No. 25,146

Dated: February 6, 1989



5.8.105 = 105 = 5/10V
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
07/278,136	11/30/88	BLAUDIN DE THE	FAST0590

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNEP
1775 K STREET, N.W.
WASHINGTON, DC 20006

000.
01/05/89

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION—
FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 101.

1. ☒ The statutory basic filing fee is: ☒ missing, ☐ insufficient. Applicant as a ☐ large entity, ☐ small entity, must submit \$ 101. to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**

2. ☒ Additional claim fees of \$ 103 as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**

3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at the time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63 identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: . Applicant(s) should provide, if possible an oath or declaration signed by the omitted inventor(s), identifying this application by the above Serial Number and Filing Date. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$26.00 under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE UNDER 37 CFR 1.16(e) IS REQUIRED FOR THIS ITEM.**

8. ☐ A \$20.00 processing fee is required for returned checks. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because check was returned.

10. ☐ Other:

A Serial Number and Filing Date have been assigned to this application. However, to avoid abandonment under 37 CFR 1.53(d), the missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE OF \$110.00** for large entities or \$55.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to the undersigned, Attention: Application Branch.

080 02/08/89 276136

A copy of this notice MUST be returned with response.

080 02/08/89 276136

For: Manager, Application Branch
(703) 557-3254

FORM PTO-1533 (REV. 7-87)

COPY TO BE RETURNED WITH RESPONSE

For Office Use Only

<input type="checkbox"/> 102	<input type="checkbox"/> 202
<input type="checkbox"/> 103	<input type="checkbox"/> 203
<input type="checkbox"/> 104	<input type="checkbox"/> 204
<input type="checkbox"/> 105	<input type="checkbox"/> 205

1 101 340.00 CK
1 103 568.00 CK
1 105 110.00 CK